Supporting the Independent Investment Professionals Who Support You

Get to Know Mid Atlantic

For more than 30 years, Mid Atlantic Capital Group, Inc. (Mid Atlantic) has supported investment professionals and financial organizations with tools to help attract, grow, and retain assets. Mid Atlantic companies provide a broad array of sophisticated financial products, technology, and support services to independent broker-dealers, registered representatives, investment advisers, and other financial intermediaries including retirement plan TPAs, record keepers, bank trust departments, and insurance companies.

Our success reflects a long held philosophy of combining the ethic of relationship-centric customer service with a sharp focus on advancing technology to produce operational efficiencies and competitive advantages. In today's marketplace, customers appreciate Mid Atlantic's financial strength, reputation, and commitment to performance as a financial services partner.

Headquartered in Pittsburgh, PA, Mid Atlantic Capital Group, Inc. has a national presence with additional offices in Sacramento, CA, Chicago, IL, and Pierre, SD. Mid Atlantic is privately held and includes:

- **Mid Atlantic Capital Corporation**, a registered FINRA member broker-dealer

- **Mid Atlantic Financial Management. Inc**., an SEC-registered investment adviser

- **Mid Atlantic Trust Company,** a non-depository trust company

About Mid Atlantic Trust Company

Mid Atlantic Trust Company serves over 150 intermediary bank trust departments, insurance companies, and TPA firms, providing best-in-class trust, custody, trading, paying agent, Directed Trustee, and/or clearing and settlement services. Mid Atllantic as a whole serves accounts that represent over $45 billion in customer assets.   For our TPA, insurance and trust company partners, Mid Atlantic clears and settles over one million fund transactions per month through the National Securities Clearing Corporation (NSCC) using FundServ.

Working With
Mid Atlantic Trust Company

In this day and age, safety is a primary concern for many. When using a trust company to hold and process your retirement plan assets, you are enlisting a professional team to safekeep the plan's holdings which are segregated from the general assets and liabilities of the trust organization.

When using MATC, you as the plan sponsor now have the ability through our on-line trust system (https:// secure.macg.com) to make sure your investment positions and transactions are in balance with your TPAs.   Mid Atlantic's web-based trust accounting and custodial system provides real-time online access to cash and investment reporting for you as well as TPAs, advisors, auditors, and other interested parties. Furthermore, our comprehensive certified custodial statements and Directed Trustee services may qualify your plans for limited scope audits, and our sponsor-centric tools help you manage your liability.

Unlike so many "bundled" retirement products where the TPA or financial firm is performing plan participant record keeping, compliance, testing and custody, we at MATC will only perform custody and related services.

We believe strongly in this segregation of duties so that you can rely on this all-important check and balance.

Investment Options Available

- Approximately 25,000 mutual funds
- Over 700 ETFs
- Various stable value funds
- An array of collective funds
- A large network of self-directed brokerage options
- Publicly traded company stock
- A stable of third party managers

Transparent Trust Accounting

- On-demand web access to monthly statements, as well as daily positions and activity
- Downloadable 1099s
- Cleared check images
- Full revenue sharing accounting and projections
- Data is never purged, so you, your advisors, and your auditors will have access as far back as needed

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